# CHAPTER THREE

# Estrogen receptor signaling mechanisms

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#### **Abstract**

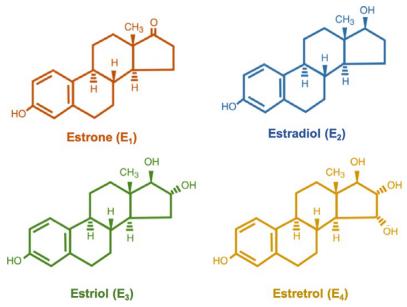
The primary female sex hormones, estrogens, are responsible for the control of functions of the female reproductive system, as well as the development of secondary sexual characteristics that appear during puberty and sexual maturity. Estrogens exert their actions by binding to specific receptors, the estrogen receptors (ERs), which in turn activate transcriptional processes and/or signaling events that result in the control of gene expression. These actions can be mediated by direct binding of estrogen receptor complexes to specific sequences in gene promoters (genomic effects), or by mechanisms that do not involve direct binding to DNA (non-genomic effects). Whether acting via direct nuclear effects, indirect non-nuclear actions, or a combination of both, the effects of estrogens on gene expression are controlled by highly regulated complex mechanisms. In this chapter, we summarize the knowledge gained in the past 60 years since the discovery of the estrogen receptors on the mechanisms governing

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estrogen-mediated gene expression. We provide an overview of estrogen biosynthesis, and we describe the main mechanisms by which the female sex hormone controls gene transcription in different tissues and cell types. Specifically, we address the molecular events governing regulation of gene expression via the nuclear estrogen receptors (ER $\alpha$ , and ER $\beta$ ) and the membrane estrogen receptor (GPER1). We also describe mechanisms of cross-talk between signaling cascades activated by both nuclear and membrane estrogen receptors. Finally, we discuss natural compounds that are able to target specific estrogen receptors and their implications for human health and medical therapeutics.

#### 1. Estrogens: Definition and history

The term "estrogens" refers to a group of female hormones, including estrone, estradiol, estriol, and estretrol (Fig. 1). Chemically, estrogens belong to the family of organic compounds known as steroids. As such, their core structure is composed of 17 carbon-carbon bonds arranged as four fused rings (three cyclohexane rings and a cyclopentane ring). All four estrogens contain 18 carbons ( $C_{18}H_{24}O_2$ ) and are collectively known as C18 steroids. They consist of one benzene ring, a phenolic hydroxyl group, and a ketone group (estrone), or one (17 $\beta$ -estradiol), two (estriol), or three (estretrol) hydroxyl groups.



**Fig. 1** Chemical structures of endogenous estrogens. Estrone (E1; orange), estradiol (E2; blue), estriol (E3; green) and estretrol (E4; yellow).

Estrogens are primarily synthesized in the ovaries, but also in the adrenal glands and adipose tissue. They were discovered in the early 1900s, when ovarian extracts ("liquor folliculi") from cattle and hogs were injected in rodents, and found to be effective in inducing sexual activity or "estrus" (Allen & Doisy, 1983). It was later determined that the hormone was produced by mature ovarian follicles, and that it was likely common to all female animals. The term estrogen derives from the Greek words oistros (frenzy, in heat) and gennan (to produce). As mentioned above, estrogens are a group of C18 hormones with similar chemical structures and function (Fig. 1). In addition, all four estrogens are able to bind to both nuclear and membrane estrogen receptors, with different affinity and strength of the response (Watson, Jeng, & Kochukov, 2008). However, the word estrogen is commonly used to refer to estradiol (or 17β-estradiol), due to its physiological relevance and predominance during reproductive years. While females produce all estrogens throughout life, the hormones 16-hydroxyestradiol (estriol) and  $15\alpha$ -hydroxyestriol (estretrol) are predominantly found during pregnancy, and estrone is usually found at higher levels during menopause (Samavat & Kurzer, 2015).

Estradiol, the predominant circulating estrogen in humans, it is mainly secreted by the granulosa cells of the ovarian follicles, and the corpora lutea. On the other hand, estretrol is synthesized exclusively by the fetal liver and reaches maternal circulation through the placenta (Coelingh Bennink, Holinka, Visser, & Coelingh Bennink, 2008; Holinka, Diczfalusy, & Coelingh Bennink, 2008). Estrone, which is produced by aromatization of androstenedione in extraglandular tissues, can be reversibly transformed to estradiol by the enzyme  $17\beta$ -hydroxysteroid dehydrogenase in peripheral tissues (Bulun, Zeitoun, Sasano, & Simpson, 1999; RYAN, 1959).

### 2. Estrogen biosynthesis

The main substrate for steroid hormone biosynthesis is dietary cholesterol, specifically low-density lipoprotein (LDL)-cholesterol (Carr, MacDonald, & Simpson, 1982). Through a process called steroidogenesis, cholesterol is converted to the 21-carbon (pregnanes, progestogens), 19-carbon (androstanes), and 18-carbon (estranes) steroid hormones in gonads, adrenal cortex, and adipose tissue (Miller, 2017). The main site of estrogen synthesis is the ovaries, and specifically the granulosa cells (Fig. 2).

The first step in the biosynthesis of steroid hormones is the translocation of cholesterol into the inner mitochondrial membrane, a process regulated

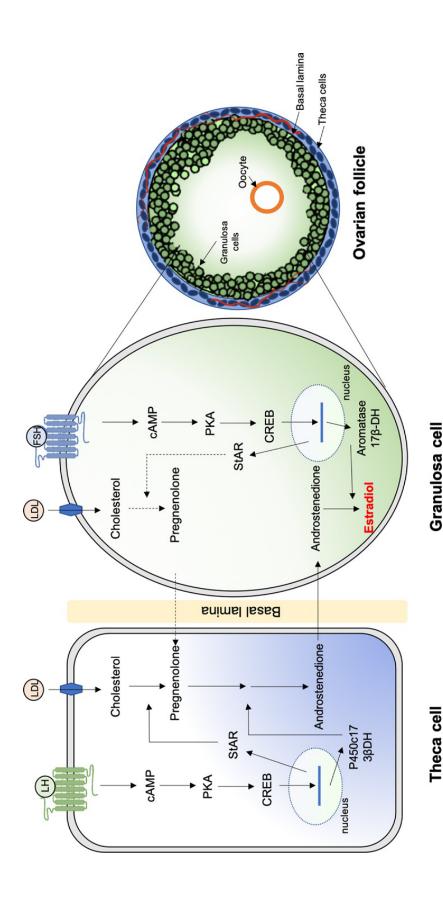
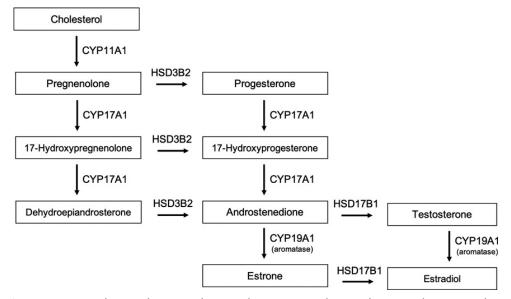


Fig. 2 Association of theca and granulosa cell in estrogen synthesis. The luteinizing hormone (LH) induces the production of androgens in theca cells. CREB, cyclic AMP response element binding protein; PKA, protein kinase A; LDL, low density lipoproteins; cAMP, cyclic adenosine theca cells. The follicle-stimulating hormone (FSH) stimulates granulosa cells via aromatization of androgens to estrogens and by using cholesterol to produce pregnenolone. The process occurs in the ovarian follicle, which is composed of granulosa cells, oocyte, basal lamina and monophosphate; StAR, steroid acute regulatory protein; P450c17, 17 $\alpha$ -hydroxylase/lyase; 17 $\beta$ HSD, 17 $\beta$ -hydroxysteroid dehydrogenase.

by the steroidogenic acute regulatory protein STARD1 (also known as StAR), which is believed to act as a shuttle enzyme (Miller & Strauss, 1999). This is the rate-limiting step of steroidogenesis in all tissues. The expression of StAR is controlled by a mechanism involving binding of luteinizing hormone (LH) to its G protein-coupled receptor in the theca cells of the ovary and stimulation of adenylate cyclase, which catalyzes the production of cyclic adenosine monophosphate (cAMP) from adenosine triphosphate (ATP). The cAMP produced activates protein kinase A, which catalyzes phosphorylation of cAMP response element binding protein (CREB) leading to activation of transcription of StAR and other factors associated with steroid hormone production (Fig. 2). At the inner mitochondrial membrane, cholesterol is converted to pregnenolone by the enzyme P450scc, or cholesterol side-chain cleavage enzyme, encoded by the CYP11A1 gene (Belfiore, Hawkins, Wiltbank, & Niswender, 1994). Pregnenolone then acts as a precursor for all steroid hormones (Fig. 3), and can diffuse between adjacent granulosa and theca cells of the ovary. The synthesis continues with the conversion of pregnenolone to androstenedione by the enzymes CYP17A1 (steroid 17-α-hydroxylase/17,20-lyase) and  $3\beta$ -HSD ( $3\beta$ -hydroxysteroid dehydrogenase/ $\Delta$ <sup>5-4</sup> isomerase), via dehydroepiandrosterone (DHEA). Androstenedione can be either converted



**Fig. 3** Estrogen biosynthesis pathway. The estrogen biosynthetic pathway involves the conversion of cholesterol to progestogens, androgens and finally estrogens. The conversion of androgen to estrone (E1) and estradiol (E2) catalyzed by aromatase is the final step for synthesis of estrogen.

to other androgens, such as testosterone and dihydrotestosterone, or diffuse to the granulosa cells through the basal lamina (Fig. 2). At the granulosa cells, androstenedione is converted to estrone by the enzyme CYP19A1 (also known as aromatase). Estrone is then converted to estradiol by the enzyme 17β-HSD (17β-hydroxysteroid dehydrogenase). In the granulosa cells, the expression of both aromatase and 17β-HSD is controlled by follicle stimulating hormone (FSH) stimulation. Interestingly, testosterone can be metabolized to estradiol and estrone by the action of aromatase in peripheral tissues, including adipose cells and bone (Simpson et al., 2002). Males also produce local estrogen by aromatization in cells of the reproductive tract, including Sertoli cells, Leydig cells, and mature spermatocytes. Overall, estrogens are normally produced by the ovaries and in smaller amounts by other tissues such as the liver, pancreas, adrenal glands, adipose tissue, and breast (Barakat, Oakley, Kim, Jin, & Ko, 2016). In specific physiological conditions, such as pregnancy, estrogen is also synthesized by the placenta. However, the biosynthesis of estrogen in non-gonadal sites follows rather unusual mechanisms, since these tissues are not able to generate C19 steroids from cholesterol. In these tissues, estrogen production is largely dependent on C19 steroids transported from other tissues and conversion by local CYP19A1 aromatase (Labrie et al., 1998; Nelson & Bulun, 2001).

Estradiol, the predominant circulating estrogen in humans, it is mainly secreted by the granulosa cells of the ovarian follicles, and the corpora lutea, by the mechanisms indicated above. On the other hand, estretrol is synthesized exclusively during pregnancy by the fetal liver and reaches maternal circulation through the placenta (Coelingh Bennink et al., 2008; Holinka et al., 2008). Estriol, which is also primarily synthesized during pregnancy, is almost exclusively produced by the placenta. To produce estriol, dietary cholesterol is converted to pregnenolone and progesterone in the placenta, and these steroids are further metabolized to DHEA and DHEA-sulfate (DHEA-S) in the fetal adrenal glands. DHEA-S is later hydroxylated to  $16\alpha$ -OH-DHEA-S in the fetal liver by the action of the CYP3A7 enzyme, and transported back to the placenta where it is converted to  $16\alpha$ -OH-DHEA by the steroid sulfatase. The enzyme  $3\beta$ -HSD1 converts  $16\alpha$ -OH-DHEA into  $16\alpha$ -OH-androstenedione, which is later aromatized to  $16\alpha$ -OH-estrone. In the final step,  $16\alpha$ -OH-estrone is converted to estriol by the  $17\beta$ -HSD enzyme, and secreted into maternal circulation (ITTRICH & NEUMANN, 1963; WILSON, ERIKSSON, & DICZFALUSY, 1964). In non-pregnant women, estriol is produced mainly in the liver by  $16\alpha$ -hydroxylation of estradiol and

estrone by CYP enzymes (Samavat & Kurzer, 2015; Tsuchiya, Nakajima, & Yokoi, 2005). Finally, estrone is mainly produced during menopause by aromatization of androstenedione in extra-glandular tissues, where it can act locally as a paracrine or intracrine factor (Simpson, 2003). Estrone can also be transformed to estradiol by the enzyme  $17\beta$ -hydroxysteroid dehydrogenase in peripheral tissues, including adipose and breast tissue, vascular endothelium, smooth muscle cells, brain tissue, and bone cells, where it is metabolized or enters the circulation in small quantities (Bulun et al., 1999; RYAN, 1959; Simpson, 2003).

### 3. Estrogen metabolism

Physiologically, the metabolic conversion of estrogens allows their excretion from the body via urine, feces, and/or bile, along with the production of estrogen analogs, which have been shown to present antiproliferative effects (Tsuchiya et al., 2005). In target cells, there are different pathways capable of metabolizing estradiol and estrone. Members of the cytochrome P450 superfamily of enzymes (CYP1A1, CYP1B1, and CYP1A2) catalyze hydroxylation of estrone and estradiol at positions C2, C4 and C16. Due to the high expression of these enzymes in the liver, a large proportion of estrogen metabolism occurs in this tissue, although CYP1B1 is also expressed in target tissues such as mammary gland, uterus, kidney, brain, and pituitary gland, where estradiol and estrone can also be metabolized. Estradiol hydroxylation is followed by conversions to 2-hydroxyestrone, 4-hydroxyestrone, 2-hydroxyestradiol, 4-hydroxyestradiol, and 16α-hydroxyestrone, which are also known as catechol estrogens, due to their presence of pharmacological properties of both catecholamines and estrogens. The hydroxylation of estradiol or 16α-hydroxyestrone forms estriol. In addition, catechol estrogens can be methylated via the catechol-O-methyltransferase (COMT) enzyme to methoxy estrogens (Samavat & Kurzer, 2015). These compounds have gained significant attention due to their little estrogenic effects, antiproliferative properties, and ability to control estrogen synthesis (Purohit & Reed, 2002; Purohit et al., 2006). Moreover, catechol estrogens can also be conjugated by estrogen sulfotransferases and UDP-glucuronyltransferases (Cheng et al., 1998; Garbacz, Jiang, & Xie, 2017). In a conjugation reaction, hormones become water soluble and excreted from the body (Lakhani, Venitz, Figg, & Sparreboom, 2003).

### 4. Physiological functions of estrogens

Estrogens are sex steroid hormones, and as such display a broad spectrum of physiological functions. These include regulation of the menstrual cycle and reproduction, bone density, brain function, cholesterol mobilization, development of breast tissue and sexual organs, and control of inflammation (Liang & Shang, 2013). While estrogens play diverse roles in normal male and female physiology, in certain physiological situations they can play similar roles in both sexes (Simpson et al., 2005). In females, estrogens are responsible for primary and secondary sexual characteristics. Estradiol promotes epithelial cell proliferation in the uterine endometrium and mammary glands starting in puberty (Gruber, Tschugguel, Schneeberger, & Huber, 2002; Koos, 2011; Simpson et al., 2005). During pregnancy, estrogens produced by the placenta help prepare the mammary gland for milk production (Voogt, 1978). On the other hand, lower levels of estrogens produced in men are essential for functions including sperm maturation, erectile function and maintenance of a healthy libido (Schulster, Bernie, & Ramasamy, 2016). It is important to mention here that all the estrogenic physiological functions previously described are mediated by estrogen receptors, which we describe in the next sections.

### 5. The estrogen receptors: History and discovery

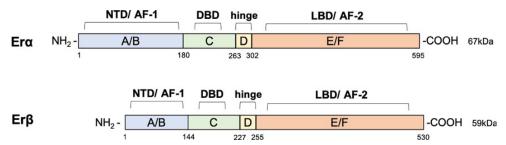
In 1958, Elwood Jensen discovered the estrogen receptor, the first receptor ever encountered for any hormone, by showing that reproductive female tissues were able to uptake estrogen from the circulation by binding to proteins. He later demonstrated that estrogen-bound receptors were able to migrate to the nucleus, where they could stimulate gene transcription (Jensen et al., 1967; Jensen et al., 1968). More than 20 years later, the first human estrogen receptor (known today as ER $\alpha$ ) was cloned using RNA from the human breast cancer cell line MCF-7 (Green et al., 1986; Greene et al., 1986). Similarly, the second estrogen receptor (known today as ER $\beta$ ) was described 10 years later by the research team lead by Dr. Jan-Ake Gustafsson (Kuiper, Enmark, Pelto-Huikko, Nilsson, & Gustafsson, 1996). Gustafsson's lab discovered that a newly identified protein that was mainly expressed in the secretory epithelial cells of the prostate and in the granulosa cells of the ovary, shared a high degree of

homology with the ER $\alpha$  (DNA-binding domain, 95%; ligand-binding domain, 55%). As a result of these similarities, the team suggested for the protein be named ER $\beta$ .

More recently, a new type of estrogen binding protein was discovered in target cells: The G Protein-Coupled Estrogen Receptor GPER1, or membrane estrogen receptor. Unlike the nuclear estrogen receptors ER $\alpha$  and ER $\beta$ , which were isolated by traditional biochemical approaches, GPER1 was identified by molecular cloning methods (Filardo & Thomas, 2012). Almost two decades ago, several research laboratories had reported the isolation of a G Protein-Coupled Receptor homolog, which was ascribed the orphan term GPR30 (Carmeci, Thompson, Ring, Francke, & Weigel, 1997; Feng & Gregor, 1997; Kvingedal & Smeland, 1997; O'Dowd et al., 1998; Owman, Blay, Nilsson, & Lolait, 1996; Takada, Kato, Kondo, Korenaga, & Ando, 1997). It was assumed that the ligand for GPR 30 was a hormone or chemotactic peptide due to its structural similarities to the receptors for angiotensin II and other peptides such as such as interleukin-8, monocyte chemotactic proteins, and complement factors (Filardo & Thomas, 2012). However, after screening of multiple chemotactic peptides and factors, no molecules with binding affinities to GPR 30 were found, the receptor continued to be classified as orphan (Feng & Gregor, 1997). However, in the year 2000, a research team was able to show that fast estrogen-mediated activation of extracellular signal-regulated kinases (ERKs) was dependent on GPR30 (Filardo, Quinn, Bland, & Frackelton, 2000). Five years later, this and other groups were able to demonstrate direct binding of 17β-estradiol to GPR30 in GPR30-transfected cells and breast cancer cell lines (Revankar, Cimino, Sklar, Arterburn, & Prossnitz, 2005; Thomas, Pang, Filardo, & Dong, 2005). Finally, in 2007 GPR 30 was officially named G protein-coupled estrogen receptor 1 (also known as GPER or GPER1), and its role in mediating fast responses to estrogens and overall physiological and pathological processes has been studied extensively in human and animal models (Boonyaratanakornkit & Edwards, 2007; Filardo et al., 2007; Molina, Figueroa, Bhoola, & Ehrenfeld, 2017; Prossnitz & Barton, 2014; Sharma & Prossnitz, 2016).

### $\triangleright$ 6. Structural properties of estrogen receptors

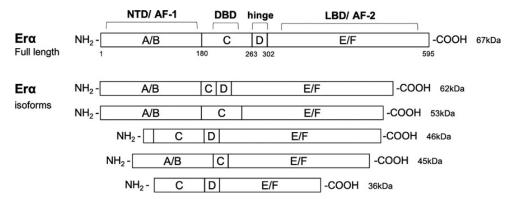
The full-length size of ER $\alpha$  is 595 amino acids and 67 kDa. ER $\beta$  is 530 amino acids in length and 59 kDa. The main difference between the two proteins is that ER $\beta$  has a shorter amino terminal domain than ER $\alpha$  (Fig. 4).



**Fig. 4** Structural organization of estrogen receptors. Structural domains of estrogen receptor  $\alpha$  (ER $\alpha$ ) (595 aa) and ER $\beta$  (530 aa) are labeled A-F. Both receptors have six different structural and functional domains: N- terminal (NTD, A/B domains, AF-1), DNA binding domain (DBD, C domain), the hinge (D domain), the C-terminal region containing the ligand binding domain (LBD, E/F domain, AF-2).

As members of the nuclear hormone receptors superfamily of transcription regulators, the structures of the estrogen receptors ER $\alpha$  and ER $\beta$  are composed of various functional domains and have several structural regions in common (Schwabe & Teichmann, 2004). The principal functional domains are termed A/B, C, D, and E/F, and are present in both receptor full-length structures (Fig. 4). The A/B region represents the aminoterminal domain (NTD), which is involved in gene transcription transactivation, and contains a zinc-finger that mediates binding to target sequences. The C region corresponds to the DNA binding domain (DBD), which contributes to estrogen receptor dimerization and binding to specific sequences in the chromatin. These canonical sequences known collectively as estrogen response elements (ERE) (Scheidereit et al., 1986; Truss & Beato, 1993). The D domain is a hinge region that connects the C and E domains, and is able to bind to chaperone proteins. This region also contains the nuclear localization signal, that is unmasked upon estrogen binding, allowing for the receptor-ligand complexes to translocate to the nucleus. In the carboxy-terminal E/F region, also known as the ligand binding domain, contains the estrogen binding area, along with binding sites for coactivators and corepressors. Finally, two additional regulators of the estrogen receptor transcriptional activity known as activation function (AF) domains AF1 and AF2, are located within the NTD and DBD, respectively (Kumar et al., 2011). The mechanisms of transcriptional regulation mediated by these receptors appear to involve a synergistic effect of AF1 and AF2 (Tora et al., 1989). Contrarily to AF2, AF1 does not require binding to hormones or steroids to be activated (Kumar et al., 2011).

In humans, the ER $\alpha$  is encoded by the gene ESR1, located on chromosome 6, locus 6q25.1 (Gosden, Middleton, & Rout, 1986). In addition to

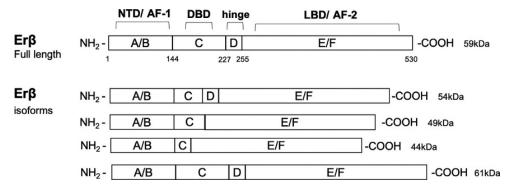


**Fig. 5** Estrogen receptor alpha (ER $\alpha$ ) isoforms. The domain organization of the full-length 595 amino acid ER $\alpha$  (67 kDa), and truncated shorter isoforms (62 kDa, 53 kDa, 46 kDa, 45 kDa, and 36 kDa) resulting from alternative splicing and/or alternate translation start sites are illustrated. Protein domains are labeled as A to F with numbering denoting amino acid sequence number based on the full-length protein (595 aa). ER $\alpha$  domains: N-terminal (NTD, A/B domains, AF-1), DNA binding domain (DBD, C domain), hinge (D) domain, and C-terminal region containing the ligand binding domain (LBD, E/F domain, AF-2).

the full-length ER $\alpha$  isoform (66 kDa), several shorter isoforms (36 kDa, 46 kDa) have been identified as a result of the presence of alternate start codons, or as productos of alternative splicing (Fig. 5). Some of these shorter isoforms do not have the NTD and thus lack the AF-1 domain. Therefore, they cannot activate transcription. Instead, they are able to form heterodimers with the full-length ER $\alpha$  and inhibit its ability to control transcriptional. The shorter isoform, ER $\alpha$ -36, lacks both AF-1 and AF-2 transcriptional activation domains, and it has been shown to exert membrane-initiated signaling events upon binding to estradiol, estriol, and estretrol (Gu et al., 2014), as well as to medicate GPER1 responses (Arnal et al., 2017; Romano & Gorelick, 2018).

On the other hand, ER $\beta$  is encoded by the ESR2 gene located in chromosome 14 (14q23–24), and has five known isoforms (Enmark et al., 1997) (Fig. 6). The main difference between the full-length ER $\beta$  and the shorter ER $\beta$  isoforms is on the C-terminal LBD. Therefore, ER $\beta$  isoforms that have no transcriptional activity can also suppress ER $\alpha$  signaling by dimerizing with ER $\alpha$  (Vrtačnik, Ostanek, Mencej-Bedrač, & Marc, 2014).

Finally, the gene coding for the membrane receptor GPER1 is located in chromosome 7 (locus 7p22.3). In terms of structure, GPER1 does not share similarities with ER $\alpha$  or ER $\beta$ . As a typical G protein coupled receptor, its structure consists of 7 transmembrane  $\alpha$ -helical regions, 4 extracellular segments, and 4 cytosolic segments (Barton et al., 2018). This receptor



**Fig. 6** Estrogen receptor beta (ERβ) isoforms. The domain organization of the full-length 530 amino acid ERβ (59 kDa), truncated shorter isoforms (54 kDa, 49 kDa, and 44 kDa), and elongated isoform (61 kDa), resulting from alternative splicing and/or alternate translation start sites are illustrated. Protein domains are labeled as A to F with numbering denoting amino acid sequence number based on the full-length protein (595 aa). ERβ domains: N-terminal (NTD, A/B domains, AF-1), DNA binding domain (DBD, C domain), hinge (D) domain, and C-terminal region containing the ligand binding domain (LBD, E/F domain, AF-2).

has low binding affinity (17B-estradiol) when compared to other estrogen receptors (Prossnitz & Barton, 2014). However, this may be important as GPER1 is accountable for rapid responses to estrogen, and activation of intracellular signaling cascades mediated by second messengers (Filardo & Thomas, 2012).

#### 7. Mechanims of estrogen receptor signaling

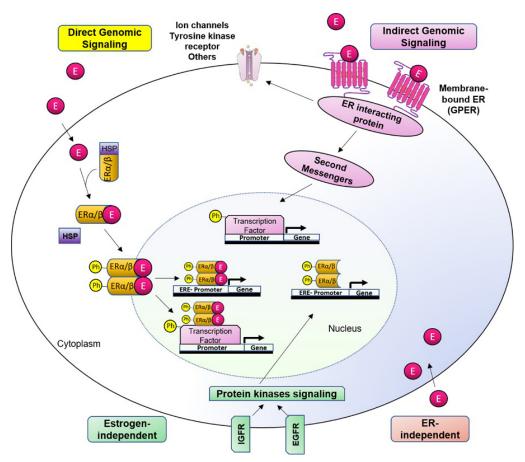
As a steroid hormone, estrogen can enter the plasma membrane and interact with intracellular ER $\alpha$  and ER $\beta$  to exert direct effects by binding to DNA sequences. Alternatively, estrogen can activate intracellular signaling cascades via interaction with the GPER1 and/or ER $\alpha$  and ER $\beta$ . Due to differences in the cellular and molecular events leading to gene expression regulation in which estrogen-receptor complexes can either bind directly or indirectly to DNA, estrogen-mediated signaling events ca be divided into genomic and non-genomic. Genomic effects are those involving migration of the estrogen-receptor complexes to the cell nucleus, and direct interaction with chromatin at specific DNA sequences known as estrogen response elements (EREs). While EREs have been identified in several gene promoters and regulatory regions, it has been reported than more than one third of human genes regulated by estrogen receptors do not contain ERE sequence elements (O'Lone, Frith, Karlsson, & Hansen, 2004). On the other

hand, non-genomic effects involve indirect regulation of gene expression through a variety of intracellular signaling events. The known mechanisms for genomic and non-genomic control of gene expression by estrogens are described below.

## 8. Nuclear estrogen receptors: Direct genomic signaling

Direct genomic signaling is known as the classical mechanism of estrogen signaling. In this process, the nuclear estrogen receptors ER $\alpha$  and ER $\beta$  act as ligand-activated transcription factors (Marino, Galluzzo, & Ascenzi, 2006; O'Malley, 2005). Upon binding of estradiol to ER $\alpha$  or ER $\beta$  in the cytoplasm, a conformational change occurs inducing receptor dimerization (Le Dily & Beato, 2018(Fig. 7). This complex is then translocated to the nucleus, where it binds to the chromatin at ERE sequences, enhancer regions within or close to promoters, and/or 3'-untranlated regions of target genes (Klinge, 2001).

Recent advances in computational biology have facilitated the identification of EREs in many gene promoters, and allowed prediction of genes regulated by estrogen and other hormones in the genomes of many species (Bajic et al., 2003; Bourdeau et al., 2004). A recent genome-wide screening study identified over 70,000 EREs in the human and mouse genomes (Bourdeau et al., 2004). Interestingly, 17,000 of these EREs were located near mRNA transcriptional start sites, and only 660 were conserved sites. The efficacy of this computational approach was further supported by functional validation of estrogen receptor interaction sites (Carroll & Brown, 2006). While these elements share a high degree of sequence similarity, it is important to recognize that the intrinsic sequence composition of the EREs can alter the affinity of the receptor to bind DNA. For example,  $ER\alpha$  has a high binding affinity for the canonical ERE sequence located within the vitellogenin A2 gene, but with less affinity for the EREs located in the oxytocin gene (Sausville, Carney, & Battey, 1985). This moderately explains why differences in ERE sequences, such as those resulting from interindividual gene variability or mutations, can affect the activation of gene expression (Loven, Wood, & Nardulli, 2001; Yi et al., 2002). In addition, specific ERE sequences can cause allosteric changes in the receptor's structure, and thus alter the ability of the complex to recruit coactivators and transcription factors that may contribute to ER biological activity (Hall, McDonnell, & Korach, 2002; Yasar, Ayaz, User, Güpür, & Muyan, 2017).



**Fig. 7** Genomic and non-genomic estrogen signaling pathways. There are different estrogen-mediated signaling mechanisms. (1) Direct genomic signaling: estrogen binds to ERs. The complex dimerizes and translocate to the nucleus inducing transcriptional changes in estrogen-responsive genes with or without EREs. (2) Indirect genomic signaling: the membrane bound receptor induces cytoplasmic events such as modulation of membrane-based ion channels, second-messenger cascades and transcription factors. (3) ER-independent: estrogen exerts antioxidant effects in an ER-independent manner. (4) Estrogen independent: ligand-independent genomic events.



# 9. Nuclear estrogen receptors: Indirect genomic signaling

As mentioned earlier, the transcription of several genes that do not contain EREs in their promoter regions can also be regulated by estradiol, without direct binding of the estrogen receptors to the DNA. According to the most recent reports, an estimated 35% of genes targeted by estrogen lack ERE-like sequences (Marino et al., 2006; Vrtačnik et al., 2014). In these, the mechanisms by which estrogen affects gene expression are collectively

known as "indirect genomic signaling" or "transcriptional cross-talk", and are based on activation of gene expression by estrogen receptors not binding DNA directly. Rather, the estrogen receptor complexes act through protein-protein interactions with other transcription factors and response elements (Aranda & Pascual, 2001; Göttlicher, Heck, & Herrlich, 1998). In this way, estrogens indirect signaling influences activation or suppression of target gene expression.

An important mediator of indirect genomic signaling is the stimulating protein-1 (Sp-1). Binding of this transcription factor to promoter regions at GC-rich sites is enhanced by the presence of estrogen receptors (Bajic et al., 2003; O'Lone et al., 2004). Examples of genes induced by estrogen via the Sp-1 mechanism are: low-density lipoprotein (LDL) receptor (Li, Briggs, Ahlborn, Kraemer, & Liu, 2001), progesterone receptor B (O'Lone et al., 2004), endothelial nitric oxide synthase (eNOS) (Chambliss & Shaul, 2002), GATA binding protein 1 (GATA1), signal transducer and activator of transcription 5 (STAT5) (Björnström & Sjöberg, 2005), and the retinoic acid receptor-1α genes (Sun, Porter, & Safe, 1998). A few studies have shown that  $ER\alpha$  can also interact with the c-rel subunit of the nuclear factor-κB (NF-κB) complex, preventing NF-κB from binding to cytokine genes promoters (Galien & Garcia, 1997; Kalaitzidis & Gilmore, 2005). Moreover, ER $\alpha$  can also interact with other transcriptional modulators such as the activating transcription factor (ATF)-2, c-jun, the ATF-1/cAMP response element binding protein (ATF-1/CREB), and the nuclear transcription factor-Y (NF-Y) (O'Lone et al., 2004).

The nuclear estrogen receptors also induce the expression of genes containing the activator protein–1 (AP–1) sites though protein–protein interactions (Gaub, Bellard, Scheuer, Chambon, & Sassone–Corsi, 1990). AP–1 is a transcription factor that regulates key cellular processes such as cell differentiation, proliferation, and apoptosis. The structure of AP–1 consists of a heterodimer composed of proteins belonging to the c–Fos, c–Jun, ATF, and the Jun dimerization partners (JDP) families (Piu, Aronheim, Katz, & Karin, 2001). The ER $\alpha$  also interacts with c–Fos and c–Jun at these binding regions (O'Lone et al., 2004). Some examples of genes induced by ER $\alpha$  via the AP–1 mechanism are insulin–like growth factor–1 (IGF1), collagenase, IGF1–receptor, ovalbumin, and cyclin D1 (Fujimoto, Honda, & Kitamura, 2004; Marino, Acconcia, Bresciani, Weisz, & Trentalance, 2002). However, previous studies have shown that ER $\alpha$  and ER $\beta$  signal in different ways depending on the ligand and response elements present at the AP–1 sites. In fact, 17 $\beta$ –estradiol activates AP–1–dependent transcription via ER $\alpha$ ,

whereas ER $\beta$  inhibits this mechanism (Paech et al., 1997). Likewise, 17 $\beta$ -estradiol binding to ER $\alpha$  induces transcription when linked to Sp-1 in GC-rich regions, but not when 17 $\beta$ -estradiol is bound to ER $\beta$ . One example of this is the contrasting action of ER $\alpha$  and ER $\beta$  on the control of cyclin D1 gene expression (Liu et al., 2002), where estrogen-bound ER $\beta$  suppresses cyclin D1 expression (Marino et al., 2006) and blocks ER $\alpha$ -mediated production when both receptors are present (Acconcia et al., 2005; Matthews & Gustafsson, 2003). The diversity of mechanisms of transcriptional regulation in different cells by the two estrogen receptors and their interactions with local transcription factors may explain the differences observed in tissue specific biologic responses to estrogens.



# 10. Membrane receptor: Indirect non-genomic signaling

As mentioned above, not all estrogen responses fit the classical genomic model of steroid action. The observation of excessively fast estrogeninduced biological responses led to the development of the hypothesis that estrogen could be acting by mechanisms not involving direct target gene transcription and protein synthesis, and the subsequent discovery of the GPER1 (Prossnitz & Barton, 2011). Non-genomic actions of estrogen often involve activation of signal-transduction mechanisms with the subsequent production of intracellular second messengers, cAMP regulation and protein-kinase activation of signaling cascades that result in indirect changes in gene expression (Lösel & Wehling, 2003) (Fig. 7). The protein-kinase cascades can be classified into four major ones: (1) the phospholipase C (PLC)/ protein kinase C (PKCs) pathway (Marino, Pallottini, & Trentalance, 1998), (2) the Ras/Raf/MAPK cascade (Dos Santos et al., 2002; Watters, Campbell, Cunningham, Krebs, & Dorsa, 1997), (3) the phosphatidyl inositol 3 kinase (PI3K)/Akt kinase cascade (Marino, Acconcia, & Trentalance, 2003), and (4) the cAMP/protein kinase A (PKA) signaling pathway (Gu & Moss, 1996; Picotto, Massheimer, & Boland, 1996). Additionally, GPER1 binding to estrogens promotes estrogen-dependent activation of adenylyl cyclase and epidermal growth factor receptor (EGFR). Subsequent phosphorylation of transcription factors by the protein kinases mentioned above can alter their function and ability to bind to genomic sequences to affect gene expression. Examples of transcription factors that are affected by these signaling mechanisms include: Elk-1, CREB, CCAAT-enhancer-binding protein beta (C/EBPβ), the NF-κB complex, and the signal transducer and activator of transcription (STAT) family (Cavalcanti, Lucas, Lazari, & Porto, 2015;

Fox, Andrade, & Shupnik, 2009; Furth, 2014; Kousteni et al., 2003; Laliotis et al., 2013; Ozes et al., 1999; Romashkova & Makarov, 1999). Thus, by activating these non-genomic to genomic mechanisms, the estrogen receptors  $ER\alpha$  and  $ER\beta$  indirectly regulate gene transcription at alternative DNA response elements, in addition to the abovementioned genomic effects involving direct binding to EREs (Fig. 7). Another interesting fact is that both  $ER\alpha$  and  $ER\beta$  are also targets for phosphorylation by protein kinases including MAPKs, indicating that non-genomic actions of estrogens may also involve self-regulation of receptor expression (de Leeuw, Neefjes, & Michalides, 2011; Kato et al., 1995).

Both the membrane bound estrogen receptor GPER 1, and some variants of the ER $\alpha$  and ER $\beta$  have been associated to non-genomic estrogen signaling (Barton et al., 2018; Filardo & Thomas, 2012). It has been suggested that non-genomic actions of the ER $\alpha$  and ER $\beta$  could be mediated through a sub-population of receptors that located at the cell membrane and can activate intracellular signaling cascades (Razandi, Pedram, Merchenthaler, Greene, & Levin, 2004). At the cell membrane, the ER $\alpha$  and ER $\beta$  can interact with scaffold proteins such as caveolin-1 and MNAR/PELP-1 (modulator of non-genomic activity of estrogen receptor) (Chambliss et al., 2000; Cheskis et al., 2008; Shaul & Anderson, 1998). By proximity, the ER $\alpha$  and ER $\beta$  also interact with G proteins, various membrane receptors (e.g., tyrosine kinase, insulin growth factor 1, and epidermal growth factor receptors), and signaling molecules including ras, Src and PI3 kinases, ErbB2 (HER-2/neu) and She that are located at or near the membrane (Boonyaratanakornkit, 2011; Li et al., 2007; Migliaccio et al., 1996; Song et al., 2010; Song, Zhang, Chen, Bao, & Santen, 2007; Song, Zhang, & Santen, 2005). Interactions with these molecules promotes intracellular activation of mitogen activated protein kinases (MAPK) and protein kinase B (Akt) signaling pathways that can affect transcriptional regulation (Li et al., 2010). While there is no clear consensus among the experts in the field about binding of ER $\alpha$  and ER $\beta$  to the plasma membrane, it appears that the mechanisms described above are cell-type specific and activated under certain physiological events, and by specific receptor variants (Li, Haynes, & Bender, 2003).

# 11. Genomic and non-genomic signaling crosstalk

As exemplified in the previous sections, it is evident that the mechanisms of action of estrogen in the various cell targets represent a combination of complex multifactorial processes. Besides the independent genomic and

non-genomic pathways described above, many authors have proposed the existence of additional convergent pathways involving both genomic and non-genomic factors that result in regulation of gene transcription (Björnström & Sjöberg, 2005; Silva, Kabil, & Kortenkamp, 2010; Vrtačnik et al., 2014). Two mechanisms of "cross-talk" have been described, and involve protein-protein interactions of components of both pathways. In one mechanism, estrogen-bound nuclear estrogen receptor complexes are dimerized and translocated to the nucleus, where they bind to phosphorylated transcription factors resulting from GPER1-mediated signaling. The complexes then bind to either ERE sequences via the nuclear estrogen receptors, or to AP-1, STATs, ATF-2/c-Jun, Sp1, and/or NF-κB cognate DNA binding sites (Björnström & Sjöberg, 2005). In the second mechanism, interaction of GPER1 and ER $\alpha$  and ER $\beta$  located at the plasma membrane activate protein kinase cascades that result in phosphorylation of AP-1, STATs, Elk-1, CREB, and NF-κB, and other transcription factors, as well as estrogen receptors themselves, that can then interact with DNA sequences to regulate transcription (Björnström & Sjöberg, 2005). Thus, convergence of the two classical estrogen receptor regulation pathways can result in enhanced transcriptional activity in specific tissues and physiological processes.

# 12. Estrogen receptor ligand independent signaling

An interesting phenomenon observed in many cells is that estrogen receptors can actually be activated in the absence of estrogens or other receptor agonists (Bennesch & Picard, 2015; Maggi, 2011; Vrtačnik et al., 2014). This ligand-independent estrogen receptor activation is mainly triggered by phosphorylation on specific residues (e.g., serine and tyrosine) in the receptors themselves, or their association with coregulators (described below). This independent mechanism requires the action of regulatory molecules necessary for phosphorylation, such as protein kinase A (PKA), protein kinase C (PKC), MAPK phosphorylation cascade components, as well as inflammatory cytokines (e.g., interleukin-2), cell adhesion molecules (e.g., heregulin), cell cycle regulators (e.g., RAS p21 protein activator cyclins A and D1), and peptide growth factors including EGF, insulin, IGF1, and transforming growth factor beta (TGFβ) (Nilsson et al., 2001).



# 13. Estrogen receptor coregulators and transcriptional control

In addition to the regulatory pathways described above, the cell also expresses a battery of coregulators that can either enhance or decrease transcriptional activity of steroid hormone receptors. These are called estrogen receptor coactivators and corepressors, respectively. Coregulators are involved in many steps of the gene expression process, including chromatin modification and remodeling, transcription initiation, elongation of RNA chains, mRNA splicing, mRNA translation, miRNA processing, and degradation of the activated NR-coregulator complexes (Lonard & O'malley, 2007). Currently, there are hundreds of coregulators of nuclear receptors described that play a key role in promoting gene expression and transcriptional activity. Coregulators are a dynamic group of proteins able to act as integrators of signals from steroid hormones, and have been linked to many diseases affected by sex hormones, such as cancer (Lonard & O'Malley, 2006). One of the first coregulators of ER $\alpha$ , known as steroid receptor coactivator (SRC-1), was identified in 1995 (Oñate, Tsai, Tsai, & O'Malley, 1995). Since then, many additional coregulators have been discovered for ER $\alpha$ , although very few are known for ERβ (Lonard & O'Malley, 2006). Coregulators for ER $\alpha$  comprise members of the steroid receptor coactivator (SRC)/p160 group, the histone acetyltransferase cAMP responsive element binding protein (CREB)-binding protein (CBP)/p300, ATP-dependent chromatin remodeling complexes like SWI/SNF, E3 ubiquitin-protein ligases, and steroid RNA activator (SRA) (Lonard & O'Malley, 2006; Manavathi, Samanthapudi, & Gajulapalli, 2014). Therefore, as indicated above, even though both nuclear estrogen receptors are able to use estradiol as their physiological ligand, they exert multiple effects and functions in different cells and tissues that are mediated by several intermediaries and differential utilization of coregulators (Manavathi et al., 2014).

The mechanisms by which coregulators control the actions of estrogen receptors are still a topic of ongoing research. From studies in cancer cells, we have learned that a large group of coregulators have specific structural motifs that than affect their contact with ER ligand-binding domains (Heery, Kalkhoven, Hoare, & Parker, 1997). The specific motifs are called NR boxes or LXXLL motifs (X, any amino acid; L, leucine). On the other hand, we know that corepressors block ER-mediated gene transcription via

(1) direct interaction with unbound estrogen receptors; (2) using their corepressor nuclear receptor box; (3) competing with coactivators (Hu & Lazar, 1999). It has also been reported that the concentration of several coregulators depends on estrogen induced-transcriptional regulation via the estrogen receptors (Mishra, Balasenthil, Nguyen, & Vadlamudi, 2004). Additionally, several post-translational modifications such as phosphorylation, methylation, ubiquitination, SUMOylation, and acetylation can impact the action of coregulators targeting gene expression (Han, Lonard, & O'Malley, 2009; Lonard & O'malley, 2007; O'Malley & McKenna, 2008).



# 14. Endogenous and exogenous estrogen receptors ligands

Apart from the estrogens that are naturally produced by gonadal and other tissues in the body, there is a diverse variety of organic and inorganic molecules that are able to recognize the estrogen receptors ligand-binding domains in a precise manner (Table 1). Most of these ligands display higher selectivity toward ER $\alpha$ , however, several selective compounds for ER $\beta$  have recently been described (Farooq, 2015). There are five main classes of ER ligands: endoestrogens, phytoestrogens, xenoestrogens, selective estrogen receptor modulators (SERMs) and metalloestrogens.

Endoestrogens are physiological estrogens that are endogenously produced by the body. Most endoestrogens (i.e., estradiol, estriol, estretrol, and estrone) were previously discussed in the chapter. Briefly, endoestrogens are steroidal compounds produced from cholesterol in the male and female gonads and other organs (Farooq, 2015). In contrast, phytoestrogens are non-steroidal compounds produced by plants. There are three known groups of phytoestrogens: isoflavones, coumestans, and lignans (Basu & Maier, 2018). Because phytoestrogens are chemically and structurally similar to estradiol, they can participate in both estrogenic and antiestrogenic effects through activation or blocking of the estrogen receptor ligand-binding domains (Turner, Agatonovic-Kustrin, & Glass, 2007). Interestingly, the phytoestrogens genistein, coumestrol, and liquiritigenin have been reported to display more affinity toward ERβ than to ERα, but the implications of these differences remain unknown (Kuiper et al., 1998; Manas, Xu, Unwalla, & Somers, 2004; Mersereau et al., 2008; Nilsson, Kuiper, & Gustafsson, 1998).

Xenoestrogens are another group of ligands that comprise an extensive variety of non-natural synthetic chemical compounds with estrogenic effects.

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<b>Table 1</b> Types of estrogen receptor	estrogen receptor ligands. hytoestrogens	S. Xenoestrogens	SERMS	Metalloestrogens
Estrone Is 17β-estradiol de estriol C cc tr tr tr tr tr tr pp pp pp set	Isoflavones: genistein, daidzein, formononetin, glycitein Coumestans: coumestrol, repensol, trifoliol Lignans: lariciresinol, matairesinol, pinoresinol, secoisolariciresinol, podophyllotoxin, steganacin		Tamoxifen Clomifene Toremifene Raloxifene Ormeloxifene	Tamoxifen Cations: aluminum (Al <sup>3+</sup> ), Clomifene antimony (Sb <sup>3+</sup> ), barium Toremifene (Ba <sup>2+</sup> ), cadmium (Cd <sup>2+</sup> ), Raloxifene (Co <sup>2+</sup> ), copper (Cu <sup>2+</sup> ), lead (Pb <sup>2+</sup> ), mercury (Hg <sup>2+</sup> ), nickel (Ni <sup>2+</sup> ) Anions: arsenite (AsO <sub>3</sub> <sup>3-</sup> ), selenite (SeO <sub>3</sub> <sup>2-</sup> ), vanadate (VO <sub>4</sub> <sup>3-</sup> )

The family of xenoestrogens can be divided into five major types: medicinal drugs, food additives, body cosmetics, environmental pesticides, and industrial chemicals (Farooq, 2015). Drugs such as diethylstilbestrol (DES) and ethinyl estradiol were specifically synthesized to mimic the action of endoestrogens, and have been extensively to treat many conditions in women (Gennari, Merlotti, Valleggi, Martini, & Nuti, 2007; Maximov, Lee, & Jordan, 2013). However, it has been found that these compounds can affect cellular and molecular processes leading to severe effects on health, and their use in medical therapeutics remains controversial (Aravindakshan, Gregory, Marcogliese, Fournier, & Cyr, 2004; Aravindakshan et al., 2004; Arukwe, Celius, Walther, & Goksøyr, 2000; Christin et al., 2004; Golden et al., 1998; Iorga et al., 2017; Vajda et al., 2008; Williams, Lech, & Buhler, 1998). In the past few years, a wealth of evidence has been accumulated demonstrating that estrogens regulate many facets of the inflammatory response and the immune system via complex molecular mechanisms that are also sex dependent (Khan & Ansar Ahmed, 2015). It is now plausible that any immune cell that expresses estrogen receptors can potentially respond to ligand binding in a context-dependent manner, which will affect the outcome of the overall immune response. Thus, given the known spatial and temporal expression of the estrogen receptors, it is important to consider this aspect when designing potential therapeutic therapies targeting the estrogen receptor signaling pathways (Arnal et al., 2017). Additionally, precise timing of treatment initiation and duration may be required to determine the true efficacy of estrogen treatment (Burns & Korach, 2012; Hamilton, Hewitt, Arao, & Korach, 2017).

The selective estrogen receptor modulators (SERMs) are another type of estrogen receptor ligands. The main difference between SERMs and xenoestrogens relies on the fact that SERMs present functional duality and are able to act both as agonists and antagonists of the estrogen receptors in different tissues (Martinkovich, Shah, Planey, & Arnott, 2014; Shang & Brown, 2002; Smith & O'Malley, 2004). At the molecular level, SERMs employ their antagonistic actions by competing with estradiol for binding to an inner hydrophobic pocket within the ligand-binding domain of ERα (Bourguet, Germain, & Gronemeyer, 2000; Shiau et al., 1998; Wärnmark et al., 2002). Binding of this estradiol agonist induces a conformational change in the LBD that results in sealing the ligand binding pocket. Some of the most important SERMs include tamoxifen, raloxifene, clomifene, ormeloxifene, and toremifene (Farooq, 2015). One of the most used SERMs in the treatment of breast cancer, tamoxifen, acts as an antagonist

in breast tissue, but as an agonist in the uterus. Therefore, while tamoxifen is often the selected treatment for ER-positive breast cancer, it can also stimulate endometrial cell growth leading to uterine cancer (Hu, Hilakivi-Clarke, & Clarke, 2015). While most SERMs are mainly selective for ER $\alpha$ , there are a few synthetic steroidal analogs that can regulate the actions of ER $\beta$ , or both receptors (Blizzard et al., 2007; Blizzard et al., 2006; Blizzard et al., 2007; Papapetropoulos, 2007).

Finally, in addition to the organic ligands mentioned above, there are also inorganic compounds in the form of heavy metal ions that present estrogenic activity. These are collectively known as metalloestrogens. Examples of these include: aluminum (Al<sup>3+</sup>), antimony (Sb<sup>3+</sup>), barium (Ba<sup>2+</sup>), cadmium (Cd<sup>2+</sup>), chromium (Cr<sup>2+</sup>), cobalt (Co<sup>2+</sup>), copper (Cu<sup>2+</sup>), lead (Pb<sup>2+</sup>), mercury (Hg<sup>2+</sup>), nickel (Ni<sup>2+</sup>), arsenite (AsO<sub>3</sub><sup>3-</sup>), selenite (SeO<sub>3</sub><sup>2-</sup>) and vanadate (VO<sub>4</sub><sup>3-</sup>) (Farooq, 2015). Studies have shown that these metalloestrogens are able to coordinate to specific amino acid residues within the ligand-binding domain of the nuclear estrogen receptors, thus blocking binding of estradiol in a non-competitive manner (Stoica, Katzenellenbogen, & Martin, 2000; Stoica, Pentecost, & Martin, 2000a, 2000b).

#### 15. Discussion

Estrogen receptors regulate a multitude of biological and physiological processes. These are tightly controlled by complex mechanisms involving either genomic nuclear direct binding to specific DNA sequences, or activation of intracellular cascades resulting in non-genomic control of transcription. Over the past 60 years since the discovery of the first nuclear estrogen receptors, and the almost 20 years since the discovery of the membrane receptor, multiple mechanisms of action have been discovered and characterized. These involve a multitude of intracellular kinases, transcription and growth factors, membrane receptors, coregulators, and natural and synthetic ligands. The information obtained in these studies has helped in the design of therapeutic strategies for diseases involving the estrogen receptors such as many cancers, as well as in the treatment of endocrine conditions affecting fertility and resulting from menopause. While there are still many diseases for which estrogens have been implicated but the role of their receptors has not been elucidated, the knowledge gained in the past six decades together with new advances in precision medicine and molecular diagnostic techniques will allow for the development of more personalized strategies to prevent and treat conditions that are affected by estrogens and other steroid hormones.

#### **Acknowledgments**

This work was supported by funding from NIH K01HL133520 (PS), NIH R03HL141618 (PS), Center for Research on Women and Newborn Health (PS), and the American Physiological Society Porter Physiology Development Program (NF).

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